APPENDIX 1

CLOSED AND COMPLETED AUDITS AS AT 31/12/2022

Table A Closed Audits (ie Final agreed report issued and all recommendations agreed)

Title	Audit Type	Audit status	Audit Opinion	Directorate
TH1321 - GUH Traffic Sign Review	Contract Audit	Audit Closed	Compliant	Communities
4708 - Caerphilly Leisure Centre Replacement of Water Tanks	Contract Audit	Audit Closed	Compliant	Communities
5028 Cefn Hengoed Community Centre	Contract Audit	Audit Closed	Compliant	Communities
4915 - Cefn Forest Juniors Boiler Replacement	Contract Audit	Audit Closed	Compliant	Communities
4742 - Blackwood Primary School, Boiler Replacement	Contract Audit	Audit Closed	Compliant	Communities
4939 - Pantside Primary School Toilet Refurb Final Account	Contract Audit	Audit Closed	Compliant	Communities
4746 - Trinity Fields Boiler Replacement	Contract Audit	Audit Closed	Compliant	Communities
5003 - Newbridge School, External Works	Contract Audit	Audit Closed	Compliant	Communities
4727 - Ty Isaf Children's Home Refurbishment	Contract Audit	Audit Closed	Compliant	Communities
5177 Rhiw Syr Dafydd WC refurbishment	Contract Audit	Audit Closed	Compliant	Communities
4912 - Ty Sign Primary Curtain Walling Works (Phase 2)	Contract Audit	Audit Closed	Compliant	Communities
5019 Coed-y- Brain Primary Roofing Works	Contract Audit	Audit Closed	Compliant	Communities

5029 - Bedwas Comp Ph 2 Fire Precaution Works	Contract Audit	Audit Closed	Compliant	Communities
4841 Cefn Fforest Primary - Roof Replacement Works	Contract Audit	Audit Closed	Compliant	Communities
4962 Rhiw Syr Dafydd Primary - Replacement Boilers	Contract Audit	Audit Closed	Compliant	Communities
5038 St Martin's School Boiler Plant	Contract Audit	Audit Closed	Compliant	Communities
4863 Trinity Fields School - Building Contract	Contract Audit	Audit Closed	Compliant	Communities
4921 Ysgol Ifor Bach - Damp Proofing Classroom Floors	Contract Audit	Audit Closed	Compliant	Communities
COVID-19 Business Support Grants (Not NNDR)	System Audit	Audit Closed	Effective	Communities
5095 Twyn Primary - Window Refurbishment Works	Contract Audit	Audit Closed	Effective	Communities
CCTV Control Room (Public Open Spaces)	System Audit	Audit Closed	Effective with opportunity to improve	Communities
4964 St Martins Comp Boiler Replacement Final Account	Contract Audit	Audit Closed	Substantially compliant	Communities
5030 Demolition of Specsavers Final account	Contract Audit	Audit Closed	Substantially compliant	Communities
4874 Ystrad Mynach Primary Boiler Replacement Final Account	Contract Audit	Audit Closed	Substantially compliant	Communities
5014 St Martin's School	Contract Audit	Audit Closed	Partially compliant	Communities
Ysgol Gymraeg Cwm Gwyddon	SAQ Control Risk Self- Assessment	Audit Closed	Effective	Education and Corporate Services
Tynewydd Primary	SAQ Control Risk Self- Assessment	Audit Closed	Effective	Education and Corporate Services

Ct Cudadva	SAQ Control	Audit	Effective	Education and
St Gwladys Primary	Risk Self-	Closed	Ellective	Corporate Services
Filliary	Assessment	Ciosea		Corporate Services
Hendre Infants	SAQ Control	Audit	Effective	Education and
Tieriare illiants	Risk Self-	Closed	LifeCtive	Corporate Services
	Assessment	010304		Corporate Cervices
Libanus Primary	SAQ Control	Audit	Effective	Education and
School	Risk Self-	Closed	σσσ	Corporate Services
	Assessment			
NFI match 002 HB	Regularity	Audit	Effective	Education and
to Student loans		Closed		Corporate Services
Payroll related NFI	Regularity	Audit	Effective	Education and
data matches 66,	rtogularity	Closed	Liiddiivo	Corporate Services
67.1, 67.2, 68,		0.0000		
68.1, and 78				
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Blue badge NFI	Regularity	Audit	Effective	Education and
matches 170,		Closed		Corporate Services
170.1, 172.1, 172.3, 172.7,				
172.3, 172.7,				
NFI match report	Regularity	Audit	Effective	Education and
67.1	regularity	Closed	Liicotivo	Corporate Services
NFI Data match	Dogularity	Audit	Effective	Education and
68.1	Regularity	Closed	Ellective	Corporate Services
	5		= " "	
NFI Data match	Regularity	Audit	Effective	Education and
report 120		Closed		Corporate Services
NFI data match	Regularity	Audit	Effective	Education and
156		Closed		Corporate Services
NFI Data match	Regularity	Audit	Effective	Education and
133		Closed		Corporate Services
Petty Cash SAQ	SAQ Control	Audit	Effective	Education and
Newbridge Library	Risk Self-	Closed		Corporate Services
	Assessment			
Waunfawr Primary	SAQ Control	Audit	Effective with	Education and
	Risk Self-	Closed	opportunity	Corporate Services
	Assessment		to Improve	
The Twyn Primary	SAQ Control	Audit	Effective with	Education and
	Risk Self-	Closed	opportunity	Corporate Services
Va mal V O = 11 "	Assessment	A1*4	to Improve	Falsopties and
Ysgol Y Castell	SAQ Control	Audit	Effective with	Education and
	Risk Self-	Closed	opportunity	Corporate Services
Coed Y Brain	Assessment SAQ Control	Audit	to Improve Effective with	Education and
Primary	Risk Self-	Closed	opportunity	Corporate Services
i illiary	Assessment	Ciosea	to Improve	Outhorare Del Alces
Ysgol Gymraeg	SAQ Control	Audit	Effective with	Education and
Gilfach Fargoed	Risk Self-	Closed	opportunity	Corporate Services
2	Assessment	2.3334	to Improve	23.40.000
Ysgol Gymraeg	SAQ Control	Audit	Effective with	Education and
Trelyn	Risk Self-	Closed	opportunity	Corporate Services
- 7	Assessment		to Improve	F = 2

Vagal Bra Cannan	CAO Control	Audit	Effective with	Education and
Ysgol Bro Sannan	SAQ Control Risk Self-	Closed	opportunity	Education and Corporate Services
	Assessment	Closed	to Improve	Corporate Services
Aberbargoed	SAQ Control	Audit	Effective with	Education and
Primary School	Risk Self-	Closed	opportunity	Corporate Services
1 minary ocnoor	Assessment	010300	to improve	Corporate Gervices
		A 11.	•	<u> </u>
Hendredenny	SAQ Control	Audit	Effective with	Education and
Park Primary	Risk Self-	Closed	opportunity	Corporate Services
School	Assessment		to improve	
Ysgol Y Lawnt	SAQ Control	Audit	Effective with	Education and
	Risk Self-	Closed	opportunity	Corporate Services
	Assessment		to improve	
Pontllanfraith	SAQ Control	Audit	Effective with	Education and
Primary School	Risk Self-	Closed	opportunity	Corporate Services
	Assessment		to improve	·
Bedwas High	SAQ Control	Audit	Effective with	Education and
School	Risk Self-	Closed	opportunity	Corporate Services
Concor	Assessment	010000	to improve	Corporato Corvioco
NEL Data match		Audit	Effective with	Education and
NFI Data match	Regularity	Closed		
131		Ciosea	opportunity	Corporate Services
			to improve	
Risca Primary	SAQ Control	Audit	Effective with	Education and
	Risk Self-	Closed	opportunity	Corporate Services
	Assessment		to improve	
Phillipstown	Advisory	Audit	Effective with	Education and
Primary	Review	Closed	opportunity	Corporate Services
Consultation	Advisory	Audit	to improve Effective with	Education and
Ysgol Gymraeg Bro Allta Advisory	Advisory Review	Closed	opportunity	Corporate Services
Review	IZGAIGM	Closed	to improve	Corporate Services
Upper Rhymney	SAQ Control	Audit	In need of	Education and
Primary	Risk Self-	Closed	Improvement	Corporate Services
	Assessment	0.0000		
Graig Y Rhacca	SAQ Control	Audit	In need of	Education and
Primary	Risk Self-	Closed	Improvement	Corporate Services
•	Assessment		-	
Ysgol Gymraeg	SAQ Control	Audit	In need of	Education and
Bro Allta	Risk Self-	Closed	Improvement	Corporate Services
	Assessment	_		
NFI Council	Regularity	Audit	In need of	Education and
Tenants to HMRC		Closed	improvement	Corporate Services
data match 485				
(property)	Doguđarita i	4:لە A	In need of	Education and
NFI CTRS to	Regularity	Audit	In need of	Education and
HMRC data matches 483		Closed	improvement	Corporate Services
(property) and 484				
(earnings)				
Cwrt Rawlin	SAQ Control	Audit	In need of	Education and
Primary School	Risk Self-	Closed	improvement	Corporate Services
	Assessment	2.0004		20.50.000
	50000o.ii.		1	ı

Islwyn High School	SAQ Control Risk Self- Assessment	Audit Closed	In need of improvement	Education and Corporate Services
Glan-Y-Nant	SAQ Control Risk Self- Assessment	Audit Closed	In need of improvement	Education and Corporate Services
EXT17C - U37C Retaining Walls Scheme Wattsville and Pontlottyn	Contract Audit	Audit Closed	Compliant	Social Services and Housing
TO2109 WHQS External Works, The Crescent, Machen	Contract Audit	Audit Closed	Compliant	Social Services and Housing
Martinsfield - Heat Pumps and Central Heating	Contract Audit	Audit Closed	Compliant	Social Services and Housing
EXT18C-UC33PS Pantycelyn	Contract Audit	Audit Closed	Compliant	Social Services and Housing
Castle Court, Pontywaun Roofing	Contract Audit	Audit Closed	Compliant	Social Services and Housing
Supporting People HSG 2021-2022	Grant certification	Audit Closed	Compliant	Social Services and Housing
Petty Cash SAQ Social Services	SAQ Control Risk Self- Assessment	Audit Closed	Effective	Social Services and Housing
TO1812 - Blocks 3 & 4 Third Avenue, Trecenydd	Contract Audit	Audit Closed	Substantially compliant	Social Services and Housing
TO2322 Rudry Trapwell Caerphilly	Contract Audit	Audit Closed	Non compliant	Social Services and Housing
Lewis Drive Hedlyn EXT17C LC33PS	Contract Audit	Audit Closed	Non compliant	Social Services and Housing

Table B completed Audits (Draft reports issued awaiting agreement of recommendations and final report)

Title	Audit Type	Audit	Audit	Directorate
		status	Opinion	

Petty Cash SAQ Llanciach Fawr	SAQ Control Risk Self- Assessment	Audit Completed	Effective	Communities
NFI 709 - VAT Overpayment	Regularity	Audit Completed	Effective with opportunity to Improve	Education and Corporate Services
NFI purchase ledger creditor detail matches 701, 702, 703 and 707	Regularity	Audit Completed	Effective with opportunity to improve	Education and Corporate Services
Pupil Deprivation Grant	Grant certification	Audit Completed	Effective with opportunity to improve	Education and Corporate Services
Education	Grant	Audit	Effective with	Education and
Improvement Grant	certification	Completed	opportunity to improve	Corporate Services
Baseline Personnel Security Standards (BPSS)	Regularity	Audit Completed	In need of improvement	Education and Corporate Services
Progress review on GT Finance IT audit	Follow Up Audit	Audit Completed	In need of improvement	Education and Corporate Services
Data Protection Training Records	System Audit	Audit Completed	In need of improvement	Education and Corporate Services
Training Records		Completed	improvement	Corporate Services